

REPUBLIKA NG PILIPINAS REPUBLIC OF THE PHILIPPINES KAGAWARAN NG EDUKASYON **DEPARTMENT OF EDUCATION**



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SEP 27 2007

DepED MEMORANDUM

No. **389** s. 2007

DISSEMINATION OF CSC-MC NOS. 18 and 20, series of 2007

(Career Executive Officer (CEO) Rank System and its Implementing Guidelines)

To: Undersecretaries

Assistant Secretaries

Directors of Bureau/Center/Service

Regional Directors

Schools Division Superintendents

- For the information and guidance of all concerned, enclosed are a copy each of Civil Service Commission Memorandum Circular (CSC-MC) No. 18 dated 22 August 2007 entitled "Career Executive Officer (CEO) Rank System" and CSC-MC No. 20 dated 24 August 2007 entitled "Implementing Guidelines for the Career Executive Officer (CEO) Rank System", which are self explanatory.
- 2. Immediate dissemination of this Memorandum is desired.

K .- 9. B. ... RAMON C. BACANI Undersecretary

Encls.:

As stated

Reference:

None

Allotment: 1—(D.O. 50-97)

To be indicated in the Perpetual Index under the following subjects:

> **BUREAUS & OFFICES OFFICIALS RULES & REGULATIONS**



Civil Service Commission

Constitution Hills, Batasang Pambansa Complex, Diliman 1126 Quezon City

100 Years of Service; Civil Service at Its Best.



MC No. <u>18</u>, s. 2007

MEMORANDUM CIRCULAR

TO

ALL HEADS OF CONSTITUTIONAL BODIES, DEPARTMENTS, BUREAUS, AND AGENCIES OF THE NATIONAL GOVERNMENT; LOCAL GOVERNMENT UNITS, GOVERNMENT-OWNED-OR-CONTROLLED-CORPORATIONS WITH ORIGINAL CHARTER; AND STATE COLLEGES AND UNIVERSITIES AND

COLLEGES

SUBJECT

Career Executive Officer (CEO) Rank System

The Commission recognizes that there are non-Career Executive Service (CES) positions in the government, the duties and responsibilities of which are noticeably managerial-executive in character but are not covered by the CES. Such positions are in government-owned or controlled corporations, constitutional commissions, and other national government agencies.

Moreover, within the executive/managerial third level, only the CES has the operational rank system wherein only those appointed by the President are conferred a rank leaving a void as to the rank system for the executive/managerial group not covered by the CES and the proper authority to confer such rank.

In view of the foregoing and by virtue of its policy-making and rule-making power, the Commission promulgated CSC Resolution No. 062246 dated December 19, 2006 creating the Career Executive Officer (CEO) Rank System which covers all incumbents of third level executive positions on permanent status in the career service. This precipitated from the adoption of a unified third level examination process promulgated under Joint CSC-CESB Resolution No. 05-001 dated July 29, 2005.

Below are the general policies that govern the CEO rank system:

I. Definition

The Career Executive Officer (CEO) Rank System is a system that intends to classify CEO positions and confer rank on incumbents of executive/managerial third level positions in the government based on their performance, demonstrated competence and personal qualifications.

II. Coverage

The CEO Rank System shall cover the following:

- A. Those who were conferred the Career Executive Officer Eligibility (CEOE) and are incumbents of a third level position in the government service under permanent status; and
- B. Those who were conferred either the Career Executive Service Eligibility (CESE) or the Career Service Executive Eligibility (CSEE) and occupy a third level position under permanent status.

III. Ranking Structure

The CEO rank system shall have a hierarchical ranking structure with six (6) rank levels where CEO Rank I is the highest and the CEO Rank VI is the lowest and initial rank.

IV. Original Appointment to CEO Rank

The entry rank of CEO VI shall be conferred to the CEO, CSE, and CES eligibles who are first time appointees under permanent status to executive/managerial third level positions outside the coverage of the CES.

V. Promotional Appointment to CEO Rank

The promotion of the CEO VI to the next higher ranks shall be based on performance, demonstrated competence and personal qualifications.

VI. Policy-making Body/Appointing Authority to CEO Rank

The Commission shall be the policy-making body for the CEO Rank System and shall make appointment to CEO Rank. The Personnel Policies and Standards Office of the Civil Service Commission shall serve as the Secretariat for this purpose.

VII. Active and Inactive Status of the CEO Rank

The separation of an executive/managerial third level eligible from the CEO position will automatically render the rank inactive including the benefits attached to the rank. The rank will be activated upon reappointment to a CEO position.

VIII. Transitory Process

The CEO eligibility shall be required for conferment to CEO rank. The CES and the CSE eligibles shall also qualify for conferment to CEO rank subject to the following conditions:

- A. Third level eligibles who have already been conferred a CES rank shall be given the same CEO rank;
- B. The CES and CSE eligibles who are already appointed to third level positions and who have not yet been conferred a rank shall be conferred the rank of CEO VI; and
- C. Both the CES and CSE eligibles who are incumbents of second level positions shall be qualified for conferment to rank if appointed to a third level position ¹ without the need to undergo the CEO eligibility process.

This Circular shall take effect immediately.

KARINA CONSTANTIO-DAVID
Chair

22 August 2007

Those appointed to third level positions by the President shall be conferred both the CES rank (by the President) and the CEO rank (by the CSC). Conferment of CES rank shall be governed by the rules of the CESB while the conferment of CEO rank shall follow the above-stated rules on the conferment of CEO rank.



Civil Service Commission

Constitution Hills, Batasang Pambansa Complex, Diliman 1126 Quezon City



MC No. 20, s. 2007

MEMORANDUM CIRCULAR

TO

ALL HEADS OF CONSTITUTIONAL BODIES, DEPARTMENTS, BUREAUS, AND AGENCIES OF THE NATIONAL GOVERNMENT; GOVERNMENT-OWNED-OR-CONTROLLED-CORPORATIONS WITH ORIGINAL CHARTER; AND STATE COLLEGES AND UNIVERSITIES

AND COLLEGES

SUBJECT

Implementing Guidelines for the Career Executive Officer

(CEO) Rank System

In connection with CSC Resolution No. 062246 which established the Career Executive Officer (CEO) Rank System, the Commission promulgated CSC Resolution No. 071735 dated September 03, 2007 which contains the Implementing Guidelines for the CEO Rank System.

Primarily, the CEO Rank System was established to uphold the Constitutional provision of merit and fitness through the creation of a competent and professional executive workforce in the government service. As a parallel system to the CESO Rank System, the CEO rank system is six-tiered and it is likewise designed to provide the third level executives professional growth through exposure to various interventions that aim to update them on the current trends in management and governance.

In this regard, the following are the guidelines embodied in CSC Resolution No. 071735:

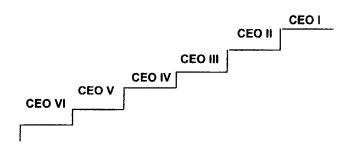
RULE I GENERAL POLICIES

Section 1. The following are the general policies governing the CEO rank system:

- a) The CEO rank system is established to uphold the Constitutional provision of merit and fitness through the creation of a competent and professional executive workforce in the government service. It is designed to provide the third level executives professional growth through exposure to various interventions that aim to up-skill and update them on the current trends in management and governance.
- b) A Career Service Executive (CSE) / Career Executive Service (CES) / Career Executive Officer (CEO) eligibility is a prerequisite for conferment of a CEO rank.

c) The CEO rank system covers incumbents of all third level executive positions in the career service on permanent status. This includes those holding positions in the CES and those incumbents of other executive/managerial positions in the career service.

Section 2. Career Executive Officer (CEO) Ranking Structure. The rank structure of the CEO is as follows:



As illustrated above, the highest rank is CEO I while the lowest rank is CEO VI. CEO ranks I, II, and III shall be considered Senior CEO Ranks and incumbents thereof shall be regarded as Senior CEOs.

Section 3. Conferment of a CEO Rank. Conferment of appropriate CEO ranks shall be made by the Commission from the roster of CES/CSE/CEO eligibles. The Personnel Policies and Standards Office (PPSO) of the CSC shall serve as the Secretariat of the Commission in the implementation of this policy and shall manage and keep all pertinent records up-to-date.

RULE II CONFERMENT OF CEO RANK

Section 1. Conferment of CEO Rank VI. CES/CSE/CEO eligibles appointed to a third level executive position in the career service on permanent status (regardless of SG) shall be given the initial rank of CEO VI. The Commission shall confer the rank of CEO VI to a third level executive eligible based on four (4) major criteria, to wit:

Eligibility : CSE, CES, or CEO Eligibility

Experience : An aggregate of one (1) year in the

same or different third level executive

position

Training : Completion of one accredited

training course

Performance Rating : A Very Satisfactory rating or its

equivalent in the CESPES for the

previous year

Section 2. Additional Requirements for Conferment of CEO Rank. In addition to the major criteria for conferment of a CEO Rank, a CSE/CEO eligible is required to submit to the Commission the following documentary requirements:

- Endorsement letter from the Department or Agency where connected
- b. Clearances from the following offices:
 - i. Agency where employed
 - ii. Civil Service Commission
 - iii. Sandiganbayan;
 - iv. Presidential Anti-Graft Commission;
 - v. Office of the Ombudsman:
 - vi. National Bureau of Investigation;
- c. Self-certification issued by the CEO or CSE/CEO Eligible under oath stating that he/she has no pending administrative or criminal case:
- d. Copy of service record duly certified by the Office Personnel/Administrative Officer; and
- e. Copies of training certificates duly certified by authorized personnel of the duly recognized training institutions.

Section 3. Endorsement from the Department Secretary or Agency Head for Conferment of a CEO Rank. A candidate who meets the requirements for conferment of a CEO rank shall seek the endorsement of the Department Secretary or Agency Head for such conferment. The Department Secretary or Agency Head shall act upon the request for endorsement within thirty (30) calendar days from the receipt of the request.

Should the Department Secretary or Agency Head fail to act within the prescribed period, the CES/CEO eligible may seek the assistance of the Personnel Policies and Standards Office, CSC, which shall communicate directly with the concerned public official about the matter. If such efforts do not result in the endorsement, the CSC shall waive the endorsement and shall evaluate the qualifications of the candidate and accordingly confer the appropriate rank if found qualified.

RULE III CONFERMENT OF HIGHER CEO RANK

Section 1. Criteria for Conferment of a Higher CEO Rank. A CEO may be conferred a higher rank based on the following criteria:

- a) Experience in a particular rank
- b) Completion of required training program from duly recognized training institutions
- c) Performance rating in the CESPES

Section 2. Experience in a particular rank. The following are the required experience for conferment of a higher rank:

Rank	Experience in the Rank
CEO I	Three (3) years as CEO II
CEO II	Three (3) years as CEO III
CEO III	Three (3) years as CEO IV
CEO IV	Three (3) years as CEO V
CEO V	Three (3) years as CEO VI

Section 3. Completion of required training programs from duly recognized training institutions. A candidate must have completed the required training programs corresponding to each rank:

Rank	Required Training Programs
CEOI	Completion of Executive Leadership Program (ELP) or similar course or its equivalent and one (1) program of duly recognized training institutions
CEO II	Completion of ELP or similar course or its equivalent and one (1) program of duly recognized training institutions
CEO III	Completion of ELP or similar course or its equivalent and one (1) program of duly recognized training institutions
CEO IV	Completion of ELP or similar course or its equivalent
CEO V	Completion of ELP or similar course or its equivalent

Section 4. Performance Rating in the CESPES. The performance rating of a CEO obtained through the CESPES is a factor for conferment of a higher CEO Rank.

A CEO should obtain a CESPES rating of at least Very Satisfactory or its equivalent for every year of experience in a CEO rank. An outstanding CESPES rating received by a CEO during the year shall be equivalent to two (2) years of experience in a CEO rank.

A CEO who receives an Outstanding and a Very Satisfactory CESPES rating shall be considered to have met the three (3) years of experience in the CEO rank, hence, shall be considered for conferment of the next higher CEO rank. The computation of either an Outstanding or Very Satisfactory CESPES rating shall be cumulative in nature.

An Unsatisfactory or Poor CESPES rating received by a CEO before s/he could complete the three (3) Very Satisfactory or Outstanding/Very Satisfactory CESPES requirements referred to in the preceding paragraphs shall negate his/her previously obtained Outstanding and/or Very Satisfactory ratings. Hence, the CEO shall be required to start accumulating Outstanding and/or Very Satisfactory ratings based on the preceding paragraph before he/she can be recommended to the Commission for conferment of a higher CEO rank.

The CESPES rating of a CEO, who is qualified for conferment of a higher CEO Rank, shall be subjected to a validation by the Commission.

Section 5. Additional Requirements. The additional requirements provided in Sections 2 and 3 of Rule II shall apply to conferment of a higher CEO rank.

Section 6. Screening Process prior to Entry to a Senior CEO Rank Level. In addition to the requirements for conferment of a higher CEO rank as provided in the preceding sections, a CEO IV shall be required to hurdle a screening process established by the Commission prior to his/her entry to the Senior CEO level.

RULE IV BENEFITS ATTACHED TO THE CEO RANK

Section 1. A CEO and a CESO shall be entitled to the same benefits including monetary benefits. However, officials from Salary-Standardization-Law (SSL)—exempt agencies, owing to their higher compensation, are not entitled to any additional monetary benefit by virtue of these guidelines.

Section 2. Other Benefits. A CEO may be given preference in availing him/herself of non-monetary benefits which may include but not limited to the following:

- 1) Attendance in training and seminars (local and abroad)
- 2) Post-graduate scholarships (local and abroad)
- 3) CSC Endorsement for cross-posting in an agency of choice
- 4) Assignment to a special project of interest (local or foreign funded)
- 5) Priority representation in international conferences/conventions
- 6) CSC endorsement for Secondments to international bodies

RULE V TRANSITORY PROVISIONS

- Section 1. Conferment of Equivalent CEO Rank to CESOs. Third level executive eligibles who have already been conferred a CESO Rank shall be conferred the equivalent CEO Rank.
- Section 2. Conferment of CEO Rank VI to CSE Eligibles. CSE eligibles who will subsequently be appointed to third level executive positions on permanent status shall be conferred a rank of CEO VI, provided that they meet the other requirements stated in Rule II of these guidelines.
- Section 3. Conferment of CEO Rank VI to incumbent of third level executive positions. The CES and CSE eligibles who are already appointed to third level executive positions on permanent status shall be automatically conferred the rank of CEO VI.

RULE VI FINAL PROVISIONS

- Section 1. Separability Clause. If any section or part of this circular shall be held to be invalid, the remaining provisions shall be given full force and effect as if the part held invalid had not been included therein.
- Repealing Clause. All existing rules and regulations, circulars and memoranda inconsistent with this circular are hereby repealed or amended accordingly.
- Section 3. Effectivity. These guidelines shall take effect fifteen (15) calendar days after its publication in a newspaper of general circulation or in the official gazette.

For your guidance.

Chair

№0 September 2007

CSC Res No. 07-1735 - published in the Manila Times on September 19, 2007



Civil Service Commission

Constitution Hills, Batasang Pambansa Complex, Oilman 1126 Quezon City

100 Years of Service: Civil Service at its Best.



Implementing Guidelines on the Career **Executive Officer (CEO) Rank System**

RESOLUTION No. $_071735$

WHEREAS, the Civil Service Commission is mandated by the Constitution, as the central personnel agency of the Government, to establish a career service, adopt measures to promote morale, efficiency, integrity, responsiveness, and courtesy in the civil service, strengthen the merit and reward system, integrate all human resources development programs for all levels and ranks, and institutionalize a management climate conductive to public accountability;

WHEREAS, pursuant to CSC Resolution No. 050660 and CSC Resolution No. 070080, and through CSC-CESB Joint Resolution No. 05001 (Implementing the Unified Third Level Examination System), the Commission and CESB began administering the CEO eligibility examination in 2005;

WHEREAS, the Commission deemed it proper and necessary to institute a rank system akin to that of the CES which will be applicable to incumbents of third level executive positions on permanent status in the government service and for this it promulgated CSC Resolution No. 062246 dated December 19, 2006 creating the Career Executive Officer (CEO) Rank System;

WHEREAS, in order to effectively implement the CEO rank system, there must be a set of implementing guidelines that will govern the conferment of a CEO rank;

WHEREFORE, by virtue of its policy-making and rule-making power, the Commission hereby RESOLVES to adopt the following Implementing Guidelines for the Career Executive Officer (CEO) Rank System:

IMPLEMENTING GUIDELINES FOR THE CEO RANK SYSTEM

RULEI **GENERAL POLICIES**

Section 1. The following are the general policies governing the CEO rank system:

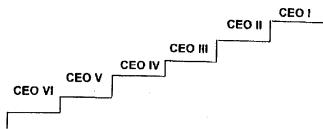
a) The CEO rank system is established to uphold the Constitutional provision of merit and fitness through the creation of a competent and professional executive workforce in the government service. It is designed to provide the third level executives professional growth through exposure to various interventions that aim to up-skill and update them on the current trends in management and governance.

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Commission Secretariat and Liaison Office
Civil Service Commission
Page 931-7935/931-7939/931-8092 • 🖫 cacphil@csc.gov.ph

- b) A Career Service Executive (CSE) / Career Executive Service (CES) / Career Executive Officer (CEO) eligibility is a prerequisite for conferment of a CEO rank.
- c) The CEO rank system covers incumbents of all third level executive positions in the career service on permanent status. This Includes those holding positions in the CES and those incumbents of other executive/managerial positions in the career service.

Section 2. Career Executive Officer (CEO) Ranking Structure. The rank structure of the CEO is as follows:



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Eligibility :

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Experience

An aggregate of one (1) year in the same or different third level executive position

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Commission Secretariat and Liaison Office
Civil Sarvice Commission



Section 2. Additional Requirements for Conferment of CEO Rank. In addition to the major criteria for conferment of a CEO Rank, a CSE/CEO eligible is required to submit to the Commission the following documentary requirements:

- a. Endorsement letter from the Department or Agency where connected
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- Self-certification issued by the CEO or CSE/CEO Eligible under oath stating that he/she has no pending administrative or criminal case;
- d. Copy of service record duly certified by the Office Personnel/Administrative Officer; and
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GUSTINA O. AMPER
Supervising Personnel Specialist
Commission Secretariat and Lisison Office
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JUSTINA O. AMPER

Supervising Personnel Specialist

Commission Secretariat and Llaison Office

Civil Service Commission

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Supervising Personnel Specialist
Commission Secretariat and Liaison Office
Civil Service Commission

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RULE VI FINAL PROVISIONS

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Section 3. Effectivity. These guidelines shall take effect fifteen (15) calendar days after its publication in a newspaper of general circulation or in the official gazette.

Quezon City,

SEP 0 32007

KARINA CONSTANTINO-DAVID

Chairperson

CESAR D. BUENAFLOR

Commissioner

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Attested by:

JUDYTH D. CHICANO Director IV

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JUSTINA O AMPER

Supervising Personnel Specialist
Commission Secretariat and Ligiton Office
Civil Service Commission Office

CSC Res No. 07-1735 - published in the Manila Times on September 19, 2007



Civil Service Commission

Constitution Hills, Batasang Pambansa Complex, Olfiman 1126 Quezon City

100 Years of Service; Civil Service at its Best,



Office of Commissioner Cesar D. Buenaflor

Re: Implementing Guidelines on the CEO Rank System

DISSENTING POSITION

With due respect to my colleagues, under the Constitution and Executive Order No. 292, and for reasons of principle, consistency, and based on my stand in connection with the Unified Third Level Examination System and other related actions, this policy proposal is undeserving of my imprimatur.

It may be significantly recalled that the withdrawal of my concurrence and that of Commissioner J. Waldemar V. Valmores, COMPOSING THE MAJORITY OF THE COMMISSION, would render ineffectual CSC Resolution No. 050660 dated May 19, 2005 (Unified Third Level Examination System) and Joint CSC- CESB Resolution No. 05-001 dated July 29, 2005 (Implementation of the Third Level Examination System), including any and all related matters undertaken by the majority in this regard specifically the Implementing Guidelines on the CEO Rank System.

Accordingly, and as substantially posited in my DISSENTING POSITION AND AMENDED DISSENTING POSITION in CSC Resolution No. 061142 dated June 30, 2006 (Operational Guidelines on the Grant of the Career Executive Officer Eligibility for i'hird Level Positions), BASED FUNDAMENTALLY ON EXISTING LAWS, JURISPRUDENCE, AND DOJ OPINION, CSC HAS NO LEGAL MANDATE OR AUTHORITY OVER THE CES-THIRD LEVEL; THEREFORE, IT CANNOT JOINTLY UNDERTAKE A THIRD LEVEL EXAMINATION WITH THE CESB, GRANT OR CONFER ELIGIBILITY THEREFROM.

The creation of the CEO Rank System stems significantly from the conceptualization, actualization and implementation of the Unified Third Level Examination, crafting an entirely new system in granting and conferment of the third level eligibility with the major features of the new system like the appointment to rank by the CSC.

The proposed policy Resolution pertains to the Implementing Guidelines on the CEO Rank System as a consequent to the promulgation of CSC Resolution No. 062246 dated December 19, 2006 creating the Career Executive Officer (CEO) Rank System.

On this point, it has been my firm position that with regard to entrance or selection to the CES comprising the third level; the determination as to compensation, career development, mobility (assignment/reassignment/transfer), discipline and classification of its members which is the subject matter of this Resolution, falls within the domain of the CESB and are governed by the rules, standards and procedures promulgated by the said Board pursuant to the provisions of Presidential Decree No. 1 (P.D. No. 1) and its corresponding Integrated Reorganization Plan.



Concededly, there are positions in the career service whose primary duties and responsibilities are not clerical, custodial, professional, technical or scientific in character, are no doubt managerial and executive. If the occupants of these positions are non-presidential appointees, albeit performing managerial or executive functions, they still belong to the second level as ruled by the Supreme Court in HIGC vs. CSC, 220 SCRA 148. This stand of the High Court was further reinforced and cemented in the latest case of the OMB vs. CSC G.R. No. 162215 dated July 30, 2007 where it declared, thus: "the CSC opinion that the Director II positions in the Central Administrative Service and the Finance and Management Service of the Office of the Ombudsman are covered by the CES is wrong $x \times x \in S$ covers presidential appointees ONLY x x the responsibility for the establishment, administration, and maintenance of qualification standards lies with the concerned department or agency, THE ROLE OF THE CSC IS LIMITED TO ASSISTING the department or agency WITH RESPECT TO THESE QUALIFICATION STANDARDS and approving them. THE CSC CANNOT SUBSTITUTE ITS OWN STANDARDS FOR THOSE OF THE DEPARTMENT OR AGENCY X X X."

WHEREFORE, in light of the foregoing, I hereby pose a similar Dissent to the subject Resolution and reiterate my dissenting positions in related CSC Resolutions.

CESAR D. BUENAFLOR Commissioner

August 24, 2007

